

100

EZ-Broker

Internet based solution for all phases of the structured settlement process

- ▶ One time input or download of case data
- ▶ Can be used online or offline
- ▶ Diary functionality includes automatic reminders
- ▶ Interfaces with embedded life company software for quoting and illustration
- ▶ Proposal management systems allows full customization
- ▶ Integrated post-settlement document processing
- ▶ Modification of documents as permitted by life companies
- ▶ Save print, fax and e-mail capabilities for any documents within the software
- ▶ Integrated life case plans and economic loss analysis through StructureOnline partners
- ▶ Automatic referral of cashouts and upfront cash to designated Financial Planners
- ▶ Data archiving and reporting available through StructureOnline
- ▶ Interfaces with broker company systems

120

EZ-Lead (EZ-Broker included)

Employs data mining and knowledge technology to identify structure candidates in claims systems

- ▶ Electronic interface with claims systems
- ▶ Automatic analysis of claims to identify structure opportunities
- ▶ Knowledge based system proposes appropriate structure products
- ▶ Claims users can propose structure programs or forward referrals to brokers

130

EZ-Reports (for Brokers)

Full-featured reporting module for EZ-Broker

Local:

- ▶ Full sort and selection criteria (e.g. by life company, client-broker)
- ▶ Open cases
- ▶ Settled cases
- ▶ Closed cases
- ▶ Premium and/or commission totals
- ▶ Case related assignments for support staff
- ▶ Many more will be defined and developed as per industry need

Remote:

- ▶ Any Reports out of the Archived Data
- ▶ Many more will be defined and developed per industry need

Fig 1A

140

EZ-Reports (for Life Companies)**Full-featured reporting module for Life Company Executives**

- ▶ Full sort and selection criteria (e.g. by broker company, individual broker insurer)
- ▶ Premium and number of cases funded
- ▶ Cases quoted
- ▶ Premium by structure product
- ▶ Cases accepted/rejected under partnership arrangements (last right of refusal)
- ▶ Cash referred to financial planners
- ▶ Many more will be defined and developed as per industry need

150

EZ-Updates**Internet-based support for delivery of life company software, updates and rate changes**

- ▶ All functions are provided through the StructureOnline portal
- ▶ Download of life company software by authorized parties
- ▶ Distribution of software upgrades and patches
- ▶ Distribution of rate changes
- ▶ Automatic notification of updates to EZ-Broker users

StructureOnline Services

160

EZ-Sync**Allows full-use of StructureOnline's broker services by broker companies, individual brokers and support staff**

- ▶ Allows brokers to download portable version of EZ-Broker for use offline
- ▶ Brokers and support staff can synchronize their work, data, schedule, diary cases, etc.
- ▶ Software updates are executed automatically
- ▶ Rate changes are made automatically

170

EZ-Support**StructureOnline help desk for life companies and brokers**

- ▶ Technical support for life company software
- ▶ Support for life products
- ▶ Maintain latest life company software on the web for download
- ▶ Maintain latest software patch for life company, software on the web for download
- ▶ Maintain rate changes on the web as publication
- ▶ Other services based on user demand

180

Alternative Dispute Resolution Provider Affiliation**Brings a new source of cases to the structure industry**

- ▶ Custom templates for ADR web pages
- ▶ Allows structured settlements to be offered as part of the ADR process
- ▶ Structure products from participating life companies offered through StructureOnline
- ▶ Referral of cases to participating brokers facilitated by StructureOnline

Fig. 1B

3/33

190

Online Broker (Web version of EZ-Broker)**Allows easy access to structure products to qualifying professionals, generates new structure business**

- ▶ Service offered to qualified parties via the Internet
- ▶ StructureOnline facilitates company approval and licensing issues

FIG. 1C

Creating a New Case

Caseinfo Client Claimant Defendant Attorney Insurer Broker Payee Fact sheet

Enter New Case Information

*Case Caption	Larry vs. Whirlpool	Date of Loss	12/12/2001
Case Type	Commercial	* Subtype	Medical
Priority	Low	Conference Date	
Litigation	Yes	Trial Date	
St. Jurisdiction	CA - California	Venue	Select
Source Ref.	File Review	Broker of Record	Yes
*Broker	Sam Corcoran		
Notes			

Add Cancel

- **Mandatory Field Requirements for opening a case**
 - Case Caption
 - Broker and Broker of Record

FIG 3

4/33

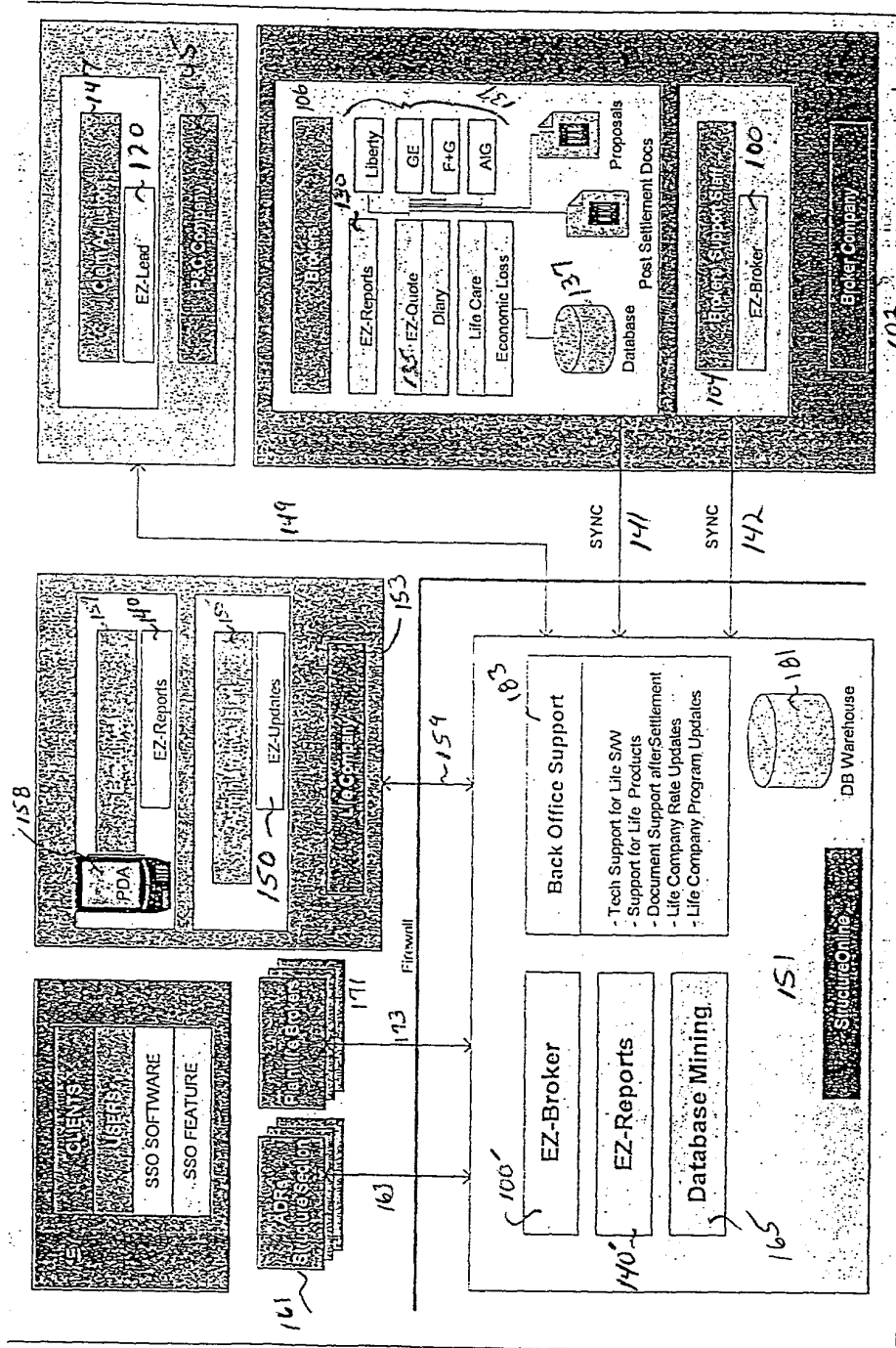


FIG. 2

5/33

Creating a New Case (continued)

- Add / Edit Insurer Client Information from Client Tab
- National Account Check Box for narrowing your search
- Mandatory fields for Insurer
 - Insurer Company Name
 - Date of Case Receipt

FIG 4

Creating a New Case (continued)

- Add / Edit Law Firm Client Information from Client Tab

- Mandatory fields for Law Firm
 - Attorney Type
 - Law Firm Name
 - Attorney Name

FIG 5

Creating a New Case (continued)

▪ **Add / Edit TPA Client Information from Client Tab**

- **Mandatory fields for TPA**
 - TPA Company Name

FIG 6

Creating a New Case (continued)

▪ **Add / Edit Other Client Information from Client Tab**

- **Mandatory fields for Other Client**
 - Other Company Name

FIG 7

7/33

Creating a New Case (continued)

• Add / Edit Claimant Information from Claimant Tab

Case Info Clerk Claimant Defendant Attorney Income Injury Pages Fact Sheet

Hall Mike |

Claimant Type: Individual Company

First Name: Last Name: Middle Name: Suffix: Jnr

Address1: Address2: City: State: Zip: Country: Select

Phone Day: Phone Eve: Phone Cell: Fax: Email: Pager:

Birth Dt-Age: 02/22/1965 37 Sex: Female SSN: Occupation: Education: Annual Income:

Primary Injury: Select Demand Amt.: Structured Amt.: Upfront Cash: Notes:

Supplemental Benefits: ☐ SSDI ☐ SSI ☐ Medicaid ☐ Medicare ☐ Food Stamps ☐ Other

FIG 8

Creating a New Case (continued)

Mail Annuity Payment To: ☐ Annuitant Address: ☐ Bank Account: ☐ Payee: ☐

Bank Name: City: Account Name: Address1: State: Account No.: Address2: Zip: Routing No:

Add Cancel

• Mandatory fields for Claimant

- First Name and Last Name
- Date of Birth and Age

- Contact Details
- Personal Details
- Case Details
- Bank Information

FIG 9

8/33

Creating a New Case (continued)**▪ Add / Edit Insured Defendant Company Information from Defendant Tab**
▪ Mandatory fields for Insured Defendant

- Company Name

FIG 10

Creating a New Case (continued)**▪ Add / Edit Plaintiff Attorney Information from Attorney Tab**
▪ Mandatory fields for Plaintiff Attorney

- Attorney Type
- Law Firm
- Attorney Name

FIG 13

9/33

Creating a New Case (continued)

- Add / Edit Insured Defendant Individual Information from Defendant Tab

The screenshot shows a software interface with a menu bar at the top containing icons for Caseinfo, Client, Claimant, Defendant, Attorney, Insurer, Broker, Policy, and End Result. Below the menu bar is a tabbed interface with the 'Defendant' tab selected. The 'Select Defendant' form contains the following fields: 'Insured Type' (dropdown menu set to 'Insured'), 'Defendant Type' (dropdown menu set to 'Individual'), 'Search Name' (text input field with a 'Search' button), 'Select Defendant' (dropdown menu set to 'Select'), 'Policy Number' (text input field), 'Policy Amount' (text input field), and 'Notes' (text area). At the bottom of the form are 'Add' and 'Cancel' buttons.

- Mandatory fields for Individual Insured Defendant
 - Defendant Type

FIG 11

Creating a New Case (continued)

- Add / Edit Self Insured Defendant Information from Defendant Tab
- Mandatory fields for Self Insured Defendant
 - Company name

The screenshot shows the same software interface as Figure 11, but with the 'Self Insured' tab selected. The 'Select Defendant' form contains the following fields: 'Insured Type' (dropdown menu set to 'Self Insured'), 'Search Name' (text input field with a 'Search' button), 'Select Company' (dropdown menu set to 'Select'), 'Select Branch' (dropdown menu set to 'Select'), 'Select Contact' (dropdown menu set to 'Select'), 'Policy Number' (text input field), 'Policy Amount' (text input field), and 'Notes' (text area). At the bottom of the form are 'Add' and 'Cancel' buttons.

FIG 12

10/33

Creating a New Case (continued)▪ **Add / Edit Defense Attorney Information from Attorney Tab**

▪ **Mandatory fields for Defense Attorney**

- Attorney Type
- Law Firm
- Attorney Name

FIG 14

Creating a New Case (continued)▪ **Add / Edit Insurer Information from Insurer Tab**

▪ **Mandatory fields for Insurer**

- Company Name

FIG 15

11/33

Creating a New Case (continued)

▪ Add / Edit Broker Information from Broker Tab

Samir Dennis |

Select Broker

Company: Structured Financial Associates Last Name: Search

Broker: Select Broker of Rec: No

Commission: 0 (%) Prod. Credit: 0 (%)

Notes:

Add Cancel

▪ Mandatory fields for Broker

- Broker Company Name
- Broker Name

FIG 16

Creating a New Case (continued)

▪ Add / Edit Payee Information from Payee Tab

▪ Mandatory Fields

- First Name
- Last Name

Hall Mike |

Contact Details

Claimant: Hall Mike Type: Select

Prefix: Select First Name: Last Name: Suffix:

Address: Address2: City:

State: Select Zip: Country: Select

Phone Day: Phone Eve: Phone Cell:

Fax: Email:

Personal Details

Birth Dt-Age: 02/22/1985 37 Sex: Select SSN: Upfront Cash:

Notes:

Add Cancel

FIG 17

12/33

Creating a New Case (continued)▪ **Add / Edit Beneficiary Information from Payee Tab**

▪ **Mandatory Fields**

- Name

FIG 18

Managing your Diary▪ **Types of Companies**

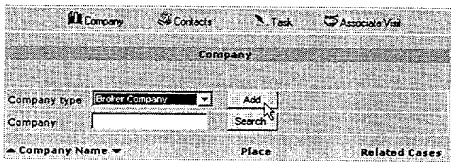
- Assignment Company
- Broker Company
- Insured Defendant
- Insurance Company
- Law Firm
- Life Company
- Other
- Self Insured Defendant
- TPA

FIG 19

13/33

Managing your Diary

- **Open your Diary & Search & Sort / Add a Company by Type**



- **View Cases related to Company from search result**

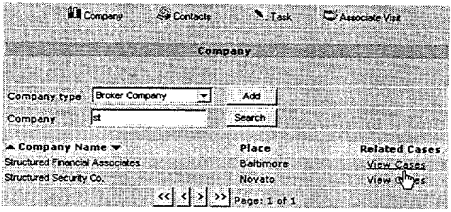


FIG 20

Managing your Diary

- **Categories of Companies**
 - Public
 - Private

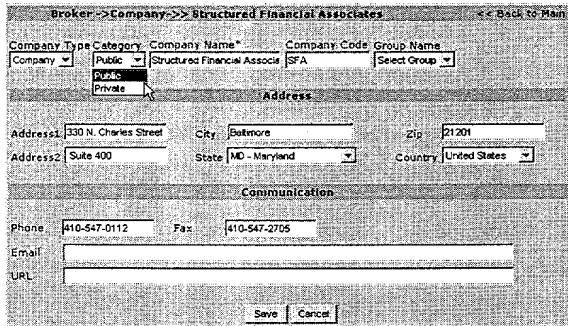


FIG 21

Managing your Diary (continued)

• Contact Listing at each Level

Branch List	Add New Branch	Contact List	Add New Contact
Name	Phone	Address	
Angel Vera	323-889-3837	500 Citadel Drive2nd Floor City of Commerce, Commerce, CA - California - 90040	
Carole Carlson	806-674-8367	302 W. Main StreetSuite 103, Avon, CT - Connecticut - 06001	
Chuck Berry	918-461-2034	7341 East 75TH Street, Tulsa, OK - Oklahoma - 74131-2816	
Edward Vihalen	810-733-7315	2503 S. Linden Road, Flint, MI - Michigan - 48532	
Jacqueline Vize	636-938-1249	507 Vista Hills Court, Eureka, MO - Missouri - 63025	
John Stanford Jr.	770-951-0696	6445 Powers Ferry Road#195, Atlanta, GA - Georgia - 30339	
Joseph Long	215-348-7244	350 S. Main StreetSuite 209, Doylestown, PA - Pennsylvania - 18901	

FIG 22

Managing your Diary (continued)

• Remember to use <Type = Company>, if desired to show under Drop Down List

Broker -> Company ->> Structured Financial Associates << Back to Main

Company Type Category Company Name* Company Code Group Name

Public Structured Financial Assoc SFA Select Group

Address

Address1 300 N. Charles Street City Baltimore Zip 21201

Address2 Suite 400 State MD - Maryland Country United States

Communication

Phone 410-547-0112 Fax 410-547-2705

Email

URL

Save Cancel

FIG 23

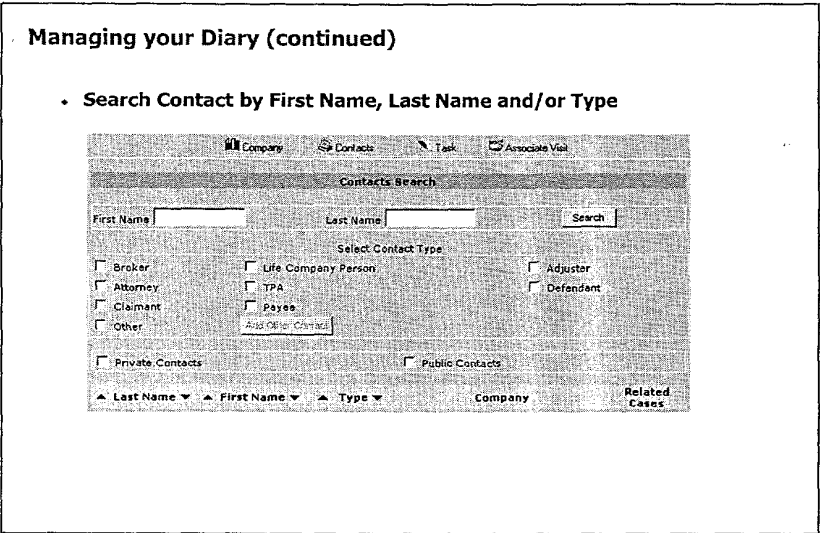


FIG 24

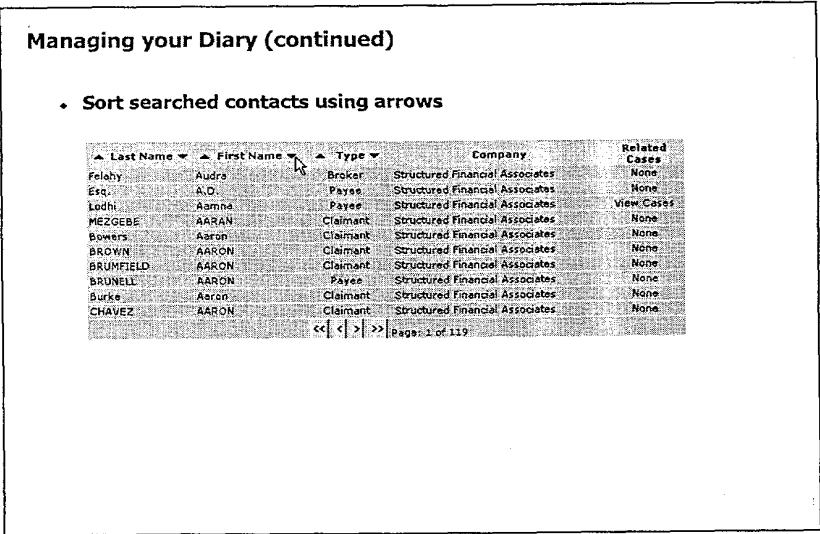


FIG 25

16/33

Managing your Diary (continued)

- View cases related to Contact from search result

▲ Last Name ▼	▲ First Name ▼	▲ Type ▼	Company	Related Cases
Wallmann	Achim	Broker	Diversified Settlements, Inc.	None
Swabson	Alan	Broker	Structured Security Co.	None
Frisbee	Alice	Broker	Structured Security Co.	View Cases
Copper	Alice	Broker	Bratt Hickey & Associates	None
Hull	Allan	Broker	Structured Security Co.	None
Richardson	Allan	Broker	The Alliance Settlement Cos.	None
Paul	AMY	Broker	Summit Settlement Services	None
Fisher	Andrew	Broker	Settlement Options	None
Hull	Andrew	Broker	Settlement Associates & Insurance Services, Inc.	None
Viera	Angel	Broker	American Settlement Corp.	None

- Contact Category

- Public
- Private

FIG 26

Managing your Diary (continued)

- Check Box for
 - Case Coordinator in Life Company
 - Broker in Broker Company
 - Attorney in Law Firm
 - Claim Adjuster in Insurer / TPA
 - Primary Contact in All Contacts

Edit Contact Information - > Broker - > Structured Financial Associates

Contact Category: ☒ Public ☐ Private ☐ Primary Contact

Prefix: First Name: Middle Name: Last Name: Suffix: Title:

Mr. ☒ Alice ☐ M. ☐ Frisbee ☐ CSSC

Address: 100 Satokeyards Road City: South St. Paul Zip: 55075

Address2: Suite 144 State: MN - Minnesota Country: United States

Communication:

Phone: 651-451-3667 (Off) Phone: (Ext.) Cell:

Fax: Fax: 651-451-4893

Email: afusbee@aol.com

Communication Type: ☐ Structured ☒ Email ☐ Fax

Notes:

FIG 27

17/33

Managing your Diary (continued)

- Communication Type Check Box
 - StructureOnline Exchange
 - Fax
 - Email

Edit Contact Information >> Broker >> Structured Financial Associates

Contact Category: Private ☐ Broker ☐ Primary Contact

First Name: Alice Middle Name: Last Name: First Initial: CSAC

Address: 1100 Sateckyards Road City: South St. Paul Zip: 55075
Address2: Suite 144 State: MN - Minnesota Country: United States

Phone: (651) 451-5567 (Day) Phone: (651) 451-4893 (Eve.) Cell: Fax: (651) 451-4893

Email: afrusbee@aol.com

Communication Type: ☒ StructureOnline ☐ Email ☐ Fax

Notes:

Save Cancel

FIG 28

Managing your Diary (continued)

- Tasks – Open, Close, Details, Calendar

EZ-Broker

New Case Case Management Reports Sync Administration

Company Contacts Task Associate Val

Case Details: 1/17/2002
Self Task
Subject: Call claimant for Date of Birth
Status: Open

January 2002

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Go Today

Open Tasks Closed Tasks

Open Tasks

Subject:

☐ Make sure that the Broker.

☐ Make sure Broker Give the

☐ Generate a quote & follow.

FIG 29

18/33

Managing your Diary (continued)

- Composing Non-case related task
 - From Home Page -> Compose
 - From Diary -> Task -> Compose

Enter New Task

Subject:

Due date: Status:

Start date: Priority:

Details:

Attachment:

FIG 30

Managing your Diary (continued)

- Tracking visits to Clients (National Accounts only)
 - Name of the Insurance Company / Branch
 - Date of the Last Visit
 - Purpose
 - Next visit schedule
 - Cases Received
 - Notes

Insurance Company Visits Log

Select Company: Search Company

Insurance Company: Branch:

Last Visit: Introduction

Number of Cases Received:

Select Assignee:

Last Visit	Insurance Company / Branch / Broker	Activity	Next Visit	Cases Received
12/15/2001	American States Ins Co of TX Broker: Luke Donold	Introduction	1/15/2002	0
12/22/2001	Travelers Insurance Co of CT Broker: Robert Jeffrey	Reviewed Cases	1/1/2002	1
1/2/2002	KAPCO National Insurance Co Broker: Luke John	File Review		0
1/2/2002	Stromberg Broker: Adam Warren	File Review	12/17/2001	0
1/2/2002	Alliant of America, Inc Broker: Adam Warren	Introduction	2/24/2002	0
1/2/2002	SAVIN AND GONSTEN NATIONAL Broker: Robert Jeffrey	Advise Training	3/2/2002	2

FIG 31

19/33

Searching for an existing Case (continued)

- From Home Page
 - Most recently worked on 10 cases
- Based on Past Due Tasks

File No.	Case Name	Date of Last	Status
SFA000012192001138127AM	Paul vs. Major Care	12/10/1999	LEAD ACCEPTED
SFA000012192001138439AM	Ann vs. Lowe's	6/7/2000	LEAD ACCEPTED
SFA000012192001138403PM	Shirley vs. UPS	10/12/1999	LEAD ACCEPTED
SFA000012192001138551AM	Sarah vs. Timberline Inc.	12/10/2001	LEAD ACCEPTED
SFA000012192001138248AM	Chris vs. Grada Corp.	4/9/1999	LEAD ACCEPTED
SFA000012192001138438AM	Larry vs. Hands & Associates	3/7/2001	LEAD ACCEPTED
SFA000012192001138404AM	Tramothy vs. United Airlines	11/10/2000	LEAD ACCEPTED
SFA000012192001138120PM	Chris vs. General Electric	3/8/2001	LEAD ACCEPTED
SFA000012192001138235AM	Larry vs. Whirlpool	10/12/2001	LEAD ACCEPTED
SFA000012192001144137PM	Charlie vs. EMC	4/8/1999	LEAD ACCEPTED

[Click here for more...](#)

Date	Case	Subject	Priority
11/10/2001	UHALT, CHARLES	Quote Followup - uhalt	
11/10/2001	UHALT, CHARLES	Quote Followup - uhalt	
11/08/2001	FUENTES, ALEX	Quote Followup - fuentes	
11/08/2001	FUENTES, ALEX	Quote Followup - fuentes	
10/31/2001	BRABER, MICHELLE	Text	
10/24/2001	PEACE, SHEILA*	Quote Followup - sheela	
10/24/2001	YAMADA, KERRY	Quote Followup - kerry	

FIG 32

Searching for an existing Case (continued)

- From Case Management
 - Multiple Search Criterion

EZ-Broker

Search by Name: Case Name, File Number, Claimant, Broker, Defendant, Client

Search by Case Type: Contingency, Other, Insurance, Uninsured, Workers Compensation

Search by Case Status: LEAD ACCEPTED, DASHED, AS QUOTE, AS QUOTE LOCK IN, AS QUOTE ACCEPTED, AS QUOTE RECEIVED, AS DOCUMENT VERIFIED, AS QUOTE PLACED, FIRST PAYMENT MADE, COMMISSION RECEIVED, COMMISSION VERIFIED

Search by Case: Date of Last, Period, Agency, Life Company

Search by Case: All Found, None, Partial, Pending, Other

Search by Case: Search, Cancel

FIG 33

Searching for an existing Case (continued)

- From Diary
 - Based on Company of any Type

FIG 34

Searching for an existing Case (continued)

- From Diary
 - Based on Contact Person of any Type

FIG 35

Generating a Quote for Fully Integrated Life Company (continued)

- Select Claimant & Life Company; Click "Enter Quote Data"

FIG 36

Generating a Quote for Fully Integrated Life Company (continued)

- Select the Rate Series; Save the Quote

FIG 37

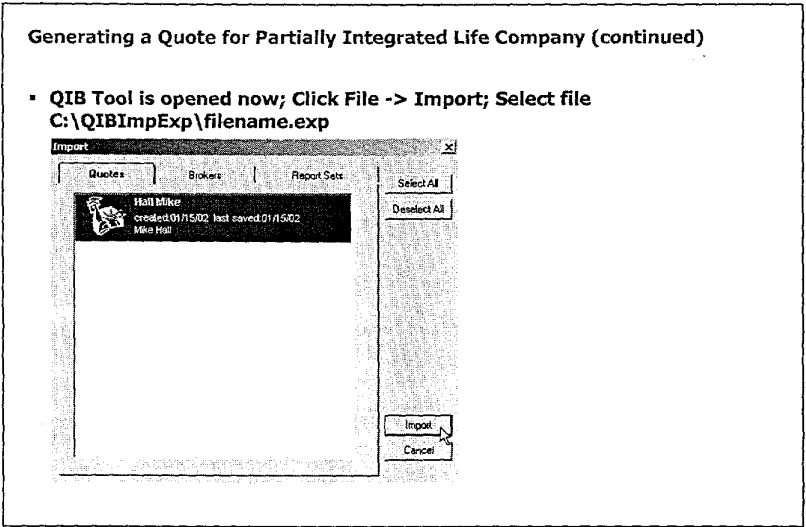


FIG 40

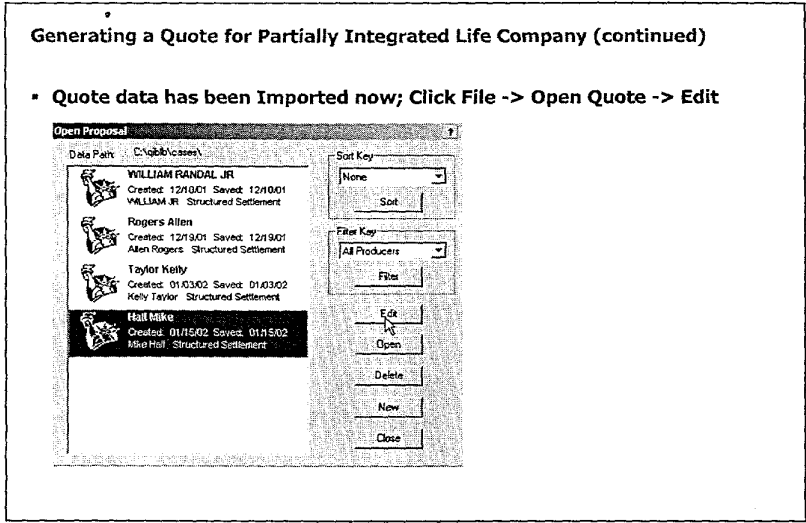


FIG 41

24/33

Generating a Quote for Partially Integrated Life Company (continued)

- Check all imported information from Quote Specification or Quick Quote window.
- Start adding benefit information -> Add Benefit
- Quote String Carryover between Tools (Change mode if not mentioned)
- Save -> Quote Name -> OK

Save As

Quote Name:

OK Cancel

Quick Quote

Quote Date: 01/15/2002
Purchase Date: 01/15/2002
Assignment: Yes
State: MI
Rate Series: 1000000-01/02/2001

Single Claimant
First: Mike
Last: Hall
DOB: 01/01/1965
Age: 37
Sex: Female
Rate Age: 37
Multiple/Not: No
Diamond? No

Benefits

Type	Benefit Amount	Mode	First Pay	Yrs	Mos	End	Incl 2	Premium
L	\$2,000.00	M	02/02/2002	1	8	09/12/2002	1.00	\$383,876.00

Client: Mike Hall, Age: 37, Type: Life, Benefit: \$2,000 M, 02/02/2002, 18, 1.00, \$383,876

Guar: \$280,150 Exp: \$2,002,812 Fees: \$500.00 Total Premium: \$569,338.00

Tab to next control

Preview Quick Print Clear Save Quit

FIG 42

Generating a Quote for Partially Integrated Life Company (continued)

- To Export Quote Data; File-> Export
- Select file C:\QIBImpExp\claimantname.exp
-> Click on Save

Export

Quotes Brokers Report Sets

Select All
Deselect All

WILLIAM RANDAL JR.
created: 12/10/01 last saved: 12/10/01
WILLIAM JR.

Rogers Allen
created: 12/19/01 last saved: 12/19/01
Allen Rogers

Taylor Kelly
created: 01/03/02 last saved: 01/03/02
Kelly Taylor

Hall Mike
created: 01/15/02 last saved: 01/15/02
Mike Hall

Hall Mike
created: 01/15/02 last saved: 01/15/02
Mike Hall

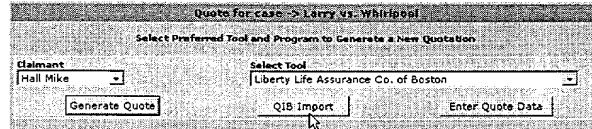
Export
Cancel

FIG 43

25/33

Generating a Quote for Partially Integrated Life Company (continued)

- Click on QIB Import



- Click on Browse and select the file to Import; Click on Import -> Close

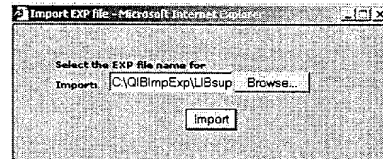
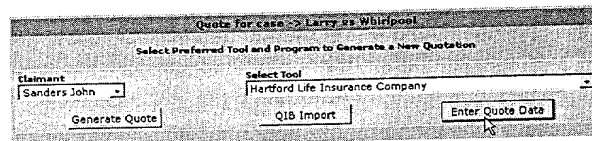


FIG 44

Generating a Quote for not Integrated Life Company (continued)

- Select Claimant & Life Company; Click "Enter Quote Data"



- Copy / Paste the data from Life Company Tool manually (Unfortunately)

FIG 45

26/33

Generating a Quote for not Integrated Life Company (continued)

- Select the Rate Series; Save the Quote
- Start adding benefits & click "Calculate"; Save at the end

THE HARTFORD

Quote Modified: 2/15/2002
 Quote Name: JOHNSON, JAMES
 Effective: 1/1/2002
 Status: CF - Connected
 Policy #

☐ John's Standard Life Plan: \$10,000.00
 Add New Benefit: \$10,000.00
 Add New Benefit: \$10,000.00
 Add New Benefit: \$10,000.00

Add New Benefit

Benefit Type	Amount	Rate	No. of Months	COI/Rate
Temporary Certain	1,000.00	Annual	30	1.000
Start Date	1/1/2002	End Date	1/1/2002	1/1/2002
COI/Rate	1.000	COI/Rate	1.000	1.000

Save Cancel

FIG 46

Proposal - Documents (continued)

- Select the Quote & Appropriate Proposal Template; Click "Generate Proposal"

Proposal

Select Template: C:\ssotz\broker\template\proposal\proposaldog.doc

Quote No.	Quote Name	Status	Total	Benefit
15200225131	JOHNSON, JAMES	Accepted	\$280,160.00	Liberty Life Assurance Co. of Boston

Select

Life	Amount	Frequency	Start Date	End Date	COI/Rate
<input checked="" type="checkbox"/>	\$2,000.00	Monthly	2/2/2002	1/1/2003	1.000
<input checked="" type="checkbox"/>	\$1,000.00	Monthly	2/15/2002	1/15/2003	1.000

Quote String

Life - \$2,000.00 - Monthly - 2/2/2002 - 1 - 1 - 1/1/2003 - 1 - \$993,876.00
 Life - \$1,000.00 - Monthly - 2/15/2002 - 20 - 0 - 1/15/2003 - 0 - \$175,162.00

Quote String

Life - \$2,000.00 - Monthly - 2/2/2002 - 1 - 1 - 1/1/2003 - 1 - \$993,876.00
 Life - \$1,000.00 - Monthly - 2/15/2002 - 20 - 0 - 1/15/2003 - 0 - \$175,162.00

Notes

Generate Quote Proposal

FIG 47

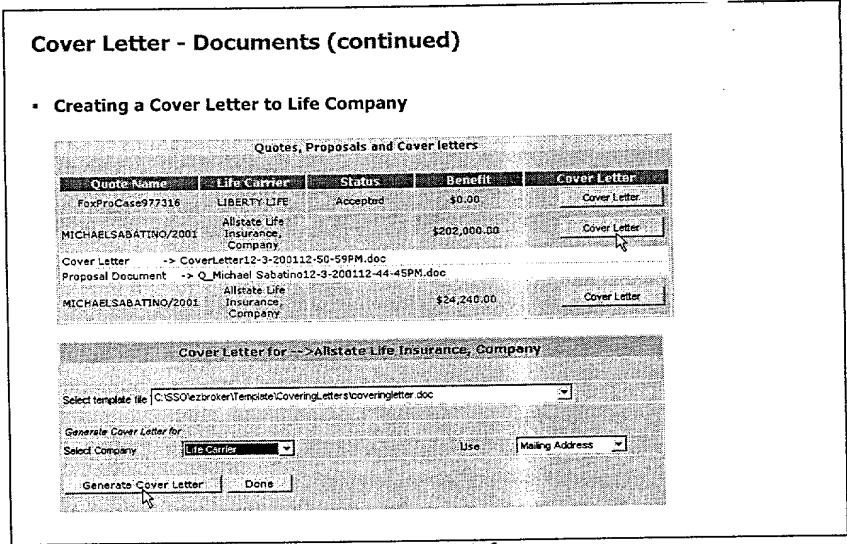


FIG. 48

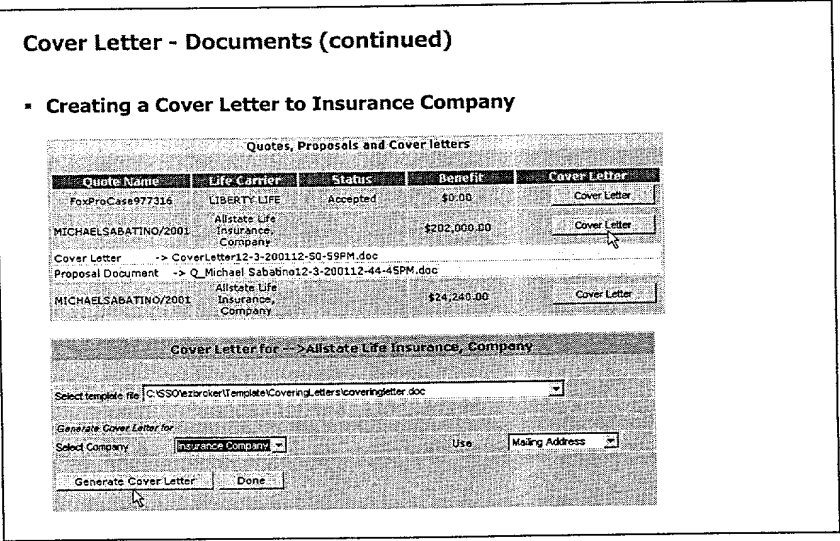


FIG. 49

28/33

Post Settlement Document - Documents (continued)

- **Creating a Post-Settlement Document from Template**

Settlement Documents for Accepted Quotes

Quote Name -> FoxProCase977316 Quote Funding

Settlement Document -> LLAC Application12-3-200112-58-26PM.doc

Settlement Document -> LLAC Application12-3-200112-59-44PM.doc

Select template file [LLAC Application.doc] Generate Document Doc Tracking

FIG 50

Document Attachment - Documents (continued)

- **Attaching a Document for e-filing**
 - Any Scanned Data Files
 - Any Electronically Generated Documents (Word, Excel, etc.)

Attachments

Title	File Name	Owner	Document's	Actions
Birth Certificate	Birth Certificate.doc	super super	Scanned Birth Certificate	Click
School Record	School Record.doc	super super	School leaving certificate	Click

Attach New

Case: SABATINO, MICHAEL

Title:

Reference:

File: Browse...

Upload

Uploaded file: School Record.doc

More Upload Done

FIG 51

29/33

Quote Acceptance Update to Case

- Click on the Quote to view details
- Enter the Date in Quote Accepted Field;
- Don't forget to Save

Quote Modified: 12/3/2001

Quote Name: MICHAEL SABATINO/2001 Created: 1/20/2001 Expires: Purchase: 1/20/2001

Rate Series: 1115-2020A Effective: 10/10/2001 Ass. Comp: Select one Ass. Fee: Fees/Expenses:

State: Select One Tax:

☐ Michael Sabatino | Upfront Cash:

DOB: 1/10/1945 Age: 56 Sex: Female Life Exp: R. Age: 60 Surv: 100

Status Information

☐ Special Life Co. Approval ☐ Rate Lock-In Accepted date: 10/10/2001 Verified date:

Notes:

Life: Michael Sabatino

Amount \$: \$100,000.00 Mode: Annual No. of Pmts: 1 COLA(%): 0 (4 Years, 0 Months)

Start Date: 1/21/2001 Last Pmt. Date: Guaranteed: \$60,000.00 Expected: \$0.00 Premium: \$274,520.00 Delete

Add New Benefit

Benefit Type: Certain Amount \$: Mode: Annual No. of Pmts: COLA(%):

Start Date: Last Pmt. Date: Guaranteed: Expected: Premium: Calculate


Save Cancel

FIG 52

Quote Verification Update to Case

- HOCA verifies an accepted Quote
 - Current Rate Series
 - Exception of Special Approval of Life Company
 - Underwriting Guidelines
 - One more rule

SABATINO, MICHAEL



Quote Modified: 12/3/2001

Quote Name: MICHAEL SABATINO/2001 Created: 1/20/2001 Expires: Purchase: 1/20/2001

Rate Series: 1115-2020A Effective: 10/10/2001 Ass. Comp: Select one Ass. Fee: Fees/Expenses:

State: Select One Tax:

☐ Michael Sabatino | Upfront Cash:

DOB: 1/10/1945 Age: 56 Sex: Female Life Exp: R. Age: 60 Surv: 100

Status Information

☐ Special Life Co. Approval ☐ Rate Lock-In Accepted date: 10/10/2002 Verified date:

Notes:

FIG 53

30/33

Tracking Documents

- Track any document from your e-file

Settlement Documents for Accepted Quotes

Quote Name -> MIKEHALL/2002 Quote Funding

Select template file JGA - AAC.doc Generate Document Doc. Tracking

Case Name: [Empty] Case Number: Super13700282701

Life Company: Adstate Life Insurance, Company Initial Cash Amounts

Premium: \$14,373.00 Date Settled: 1/1/2002 Policy Number: [Empty]

Are all documents measurement completed? ☐ Documents completion date: [Empty]

Document Info	Field Office			Head Office			N/A
	Sent to N/D	Will be Sent	Received Date	Was Sent	Will Be Sent		
Original Application							<input checked="" type="checkbox"/>
Copy of Application							<input checked="" type="checkbox"/>
Information Form							<input checked="" type="checkbox"/>
Date Sole Case sheet faxed							<input checked="" type="checkbox"/>
Date Sold Case sheet approved							<input checked="" type="checkbox"/>
Purchase Date							<input checked="" type="checkbox"/>
Lock-In Start							<input checked="" type="checkbox"/>
Approved Lock-In Received							<input checked="" type="checkbox"/>

FIG 54

Communicating with Support, Home Office, Clients & Vendors

- Important Communication Check Box on Contact Information in Diary

Edit Contact Information -> Broker -> Structured Financial Associates

Contact Category: Private ☒ Acting Broker ☐ Primary Contact

Prefix: First Name* Middle Name Last Name* Suffix Title

Select ANDERSON, PAU

Address

Address1: P.O. BOX 1808 City: SALT LAKE CITY UTAH Zip: 84110-1808

Address2: State: UT - Utah Country: Select One

Communication

Phone: 801-575-7811 (Day) Phone: (Eve.) Cell:

Pager: Fax:

Email: info@sfainc.com

Communication Type: ☐ DATA STREAM ☒ E-MAIL ☒ FAX

Notes:

Update Cancel

FIG 55

31/33

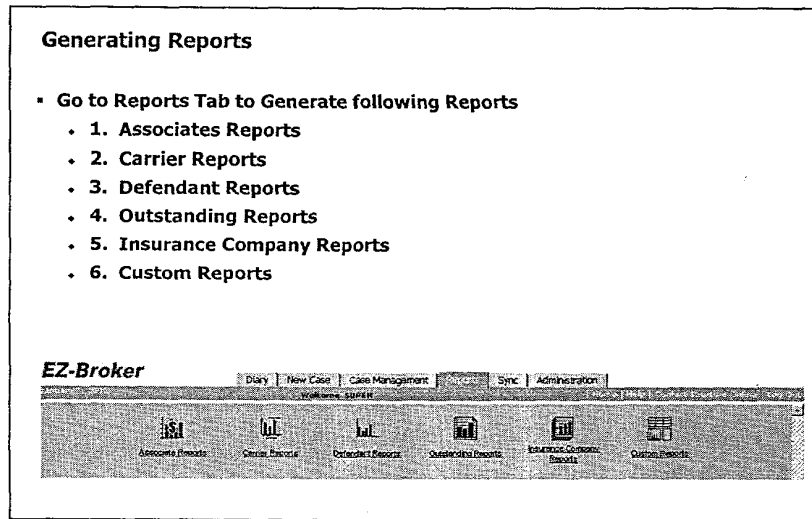


FIG 56

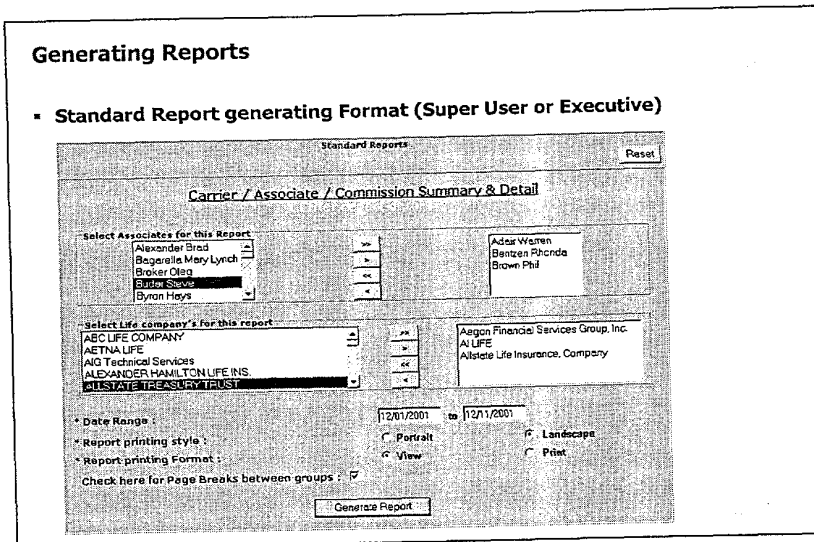


FIG 57

Generating Custom Reports (continued)

Go to Custom Report Generation

Customized Report

Select Fields
<div> <div>ADJUSTER</div> <div>ASSOCIATE</div> <div>ATTORNEY FIRM</div> <div>CASE NAME</div> <div>CASE STATUS</div> <div>CASE TYPE</div> <div>CLAIMS FILE NUMBER</div> <div>COMMISSION PAID</div> <div>COMMISSION RECEIVED</div> <div>DATE OF LOSS</div> <div>DEFENDANT NAME</div> </div> <div> <div>MOVE</div> <div>REMOVE</div> </div> <div> <div>ASSOCIATE CODE</div> <div>AUTHORIZED AMOUNT</div> <div>CASE NUMBER</div> <div>CLAIMANT NAME</div> <div>COMMISSION PAID DATE</div> </div>

Goto... NEXT >>

FIG 59

Generating Custom Reports (continued)

Customized Report - Step 2

Select conditions for Report

For date values please enter values in [mm-dd-yy] format.

DEMAND AMOUNT <= 20000 Add Condition

MS_BROKERASSOCIATE_TITLE = 'semi'

CASEINFO.CASE_CAPTION = 'Iron hatcher'

ASSOCIATE_COMMISSION.NET_ASSOCIATE_PREMIUM_AMOUNT > 10000 Delete Condition

Select Grouping Columns and Aggregates

SUM OF AUTHORIZED AMOUNT BY ADJUSTER

AVERAGE OF COMMISSION RECEIVED BY ASSOCIATE

MAX ASSOCIATE CODE

MIN ATTORNEY FIRM

COUNT AUTHORIZED AMOUNT Add Group

SUM(CASE_INSRER(AUTHORIZED_AMOUNT)) BY MS_BROKERASSOCIATE_TITLE

SUM(ASSOCIATE_COMMISSION.NET_BC_COMM) BY MS_BROKERASSOCIATE_TITLE Delete Group

Cancel Proceed to Step 3

FIG 60

33/33

Generating Reports

- Standard Report generating Format (Associate, Support or Client)

Standard Reports Reset

Associate Visit to Insurance Company - Summary

[Click here for insurance company list](#)

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z All

Select Insurance company's for this report

A GUILFORD TRANS INDUSTRIES CO	»	A PACIFIC TELESIS COMPANY
A.M. LITHOGRAPHY	»	ACACEMV LIFE INSURANCE COMP
AAAA MountainWest Ins Co	»	ACCEPTANCE INSURANCE COMPANY
ACCELERATION NATIONAL INS	»	
ACCIDENT FUND COMPANY	»	

Date Range : 12/01/2001 to 12/31/2001

Report printing style : ☐ Portrait ☐ Landscape

Report printing Format : ☐ View ☐ Print

FIG 58

Generating Custom Reports (continued)

Customized Report - Step 3

Pick the Report Options

* Custom Report Title: Custom Reports for Month of

* Custom Report Sub-Title : November 2001

Select Print Option:

☐ Portrait ☐ Landscape

☒ Include page-breaks between groups

Select an Option for the report:

☐ Print Report [this will automatically redirect re

☒ View Report [the report will be opened within

Save Report Query : ☒

Enter Report Name: monthly reports

FIG 61